Confidential Personal Background Information

 ${\it COMPLETE\ PRIOR\ TO\ FIRST\ SESSION\ AND\ DISCUSS\ ANY\ QUESTIONS\ WITH\ THE\ THERAPIST}$

| | | TODAY'S DATE: | | | |
|--|--|--|--|--|--|
| First Name | Middle Initial | Occupation | | | |
| Last Name | | Employer /School | Work / Campus Location | | |
| Street Address | | Work: (Area Code) Phone Number | | | |
| City, State, Zip Code | | Insurance Information |) | | |
| Client's Date of Birth / day | / year | Relationship to Cover | ed Person | | |
| () — Home: (Area Code) Phone Number | | Social Security Number | | | |
| _ | You have my pern | nission to contact the person b | elow in an emergency: | | |
| Cellular: (Area Code) Phone Number | | | () | | |
| | Name | | (Area Code) Phone Number | | |
| COMPLETE THE FOLL | OWING INFORMATIO | N FOR THE PERSON BEI | NG SEEN TODAY | | |
| EDUCATION Did Not Finish H.S. (Highest Grade H.S. Graduate / GED Some College College Graduate Advanced Degree Technical / Vocational Military MARITAL STATUS Never Married Divorced Separated Widowed Living With Se | African A Hispanic Native Ar Asian | merican Male | PERSON SEEKING ASSISTANCE Employee Only (EAP) Employee & Family Member (EAP) Family Member Only (EAP) Student Only (SRS) Student & Family Member (SRS) | | |
| No | sor (Suggested) sor (Mandatory) hop Steward (Suggested) er/Friend Suggested Suggested ce Training / Orientation failing/School Communication care Provider | Attendance Tardiness Safety Violations Medical Leave Mistakes Forgetfulness Decreased Work Qu Emotional Issues Health Issues | | | |
| Primary Care Physician: | | | | | |
| Psychiatrist: | | | | | |
| Current Medication(s): | | | | | |
| Complete ONLY if person being seen is an EMPL | OYEE | Complete ONLY if person | being seen is a STUDENT | | |
| or Employee Family Member | Program Enrolled | I In: | | | |
| WHAT BROUGHT YOU IN TODAY? (please check no more than two) Alcohol Use & Abuse Alcohol Related (family member) Dependent Care Drug Related (family member) | Enrollment Status 1/4 Time 1/2 Time 3/4 Time Full Time | Length of Program Less than 6 months 6 to 9 months 10 to 12 months | 13 to 18 months | | |
| Drug Use Emotional | Enroll Date: | / mm/yyyy | Grad Date:/ mm/yyyy | | |
| Family Financial Health Concerns Issue Concerning Child Legal Marital / Relationship Positive Drug Test School Problem | Alcohol conce | d (family) | cial Learning Problems Positive Drug Test oling problem Relationship Issues h concern School Related | | |
| Work Related | Emotional Family conce | Job R | Resources Work Related | | |

Confidential Personal Background Information – Continued

| COMPLETE THE FOLLOWING INFORMATION FOR THE PERSON BEING SEEN TODAY | | | | |
|---|---|---------------------------------------|---------------------------------|--|
| HAVE YOU EXPERIENCED ANY OF THE FOLLOWING IN THE LAST SIX MONTHS? (CHECK ALL THAT APPLY) | | | | |
| Death of friend or family member Major legal difficulties | New responsibility for elder p DWI / DUI | parents | | |
| Accused of crime / victim of crime Personal injury, illness or accident | Recent birth of child Marriage | | | |
| Problem with friend/family member | Marriage Family injury, illness, or accid | dent | | |
| Abortion | Miscarriage | | | |
| Sexual harassment Major change in financial status | Sexual abuse, rape Major geographic relocation | | | |
| Major change in infalicial status Major change in employment status | Major geographic relocation Serious job-related problems | 3 | | |
| Serious school-related problem | Change in close personal rel | | ration, break-up) | |
| HAVE YOU USED ANY OF THE FOLLOWING S | SUBSTANCES? (CHECK ALL THAT APP | PLY) | | |
| | ed? Currently Use Last Used | Average | Average Use per | |
| (circle Anti-Depressants Y / N | | Times Used | Day / Week / Month D / W / M | |
| Anti-Anxiety / Sedatives Y / N | | | D / W / M | |
| Anti-Convulsants Y / N | | | D / W / M | |
| Alcohol Y / N | | | D/W/M | |
| Marijuana / Hashish Y / N | | | D / W / M | |
| Cocaine / Crack Y / N | | | D/W/M | |
| Narcotics / Heroin Y / N | | | D / W / M | |
| Hallucinogens / PCP LSD Y / N | | | D / W / M | |
| Amphetamines / Speed Y / N Barbiturates / Tranquilizers Y / N | | | D / W / M D / W / M | |
| Barbiturates / Tranquilizers Y / N Inhalants Y / N | | | D / W / M | |
| Diet Bille V / N | | | D/W/M | |
| Other: Y / N | | | D / W / M | |
| | | | | |
| Have you ever received help for an emotional, dr If Yes, specify date and type of treatment: | ag, or alcohol problem: | | | |
| | | | | |
| 1 2 | good bit of the time Some of the time 4 | A little of the time 5 | None of the time 6 | |
| 2. How much of the time, during the past mo All of the time Most of the time A 1 2 | enth, have you felt calm and peaceful good bit of the time Some of the time 3 | ? A little of the time 5 | None of the time | |
| How much of the time, during the past mo All of the time | enth, have you been a very nervous portion good bit of the time 3 Some of the time 4 | erson? A little of the time 5 | None of the time | |
| How much of the time, during the past mo All of the time | onth, have you felt downhearted and k good bit of the time Some of the time 3 4 | A little of the time | None of the time | |
| 5. How much of the time, during the past mo Always Very often 1 2 | nth, did you feel so down in the dum Fairly often Sometimes 3 4 | ps that nothing could of Almost never | cheer you up? Never 6 | |
| | | | | |
| Please complete if you or the person being seen are 16 years o | ia or olaer. | | | |
| Based on your personal experience, how wou excessively in the following circumstances? | | | ing alcohol or drugs Extremely | |
| | High High | Moderate Low | Low N/A | |
| When I'm at a party, similar other get-tog When I'm at a concert or other public evo | gellier | 3 | - 1 U 1 0 | |
| When I'm at a concert or other public events when I'm celebrating something importa | nt to me 5 4 | 3 2 | - 1 0 | |
| When I've had a fight with someone clos | e to me 5 4 | 3 2 | - 1 0 | |
| When I'm feeling sad, depressed or disc | ouraged 5 4 | 3 2 | - 1 0 | |
| | | | | |
| When I'm angry with myself or someone | else 5 4 | 3 2 | - 1 0 | |
| When I'm angry with myself or someone When I'm with my lover When I'm on a date | else 5 4 5 4 | 3 2 | - 1 0 - 1 0 | |

40 Question Survey

INSTRUCTIONS: READ EACH ITEM BELOW CAREFULLY AND DECIDE HOW MUCH YOU HAVE EXPERIENCED EACH SYMPTOM IN THE LAST MONTH. CIRCLE THE APPROPRIATE RESPONSE.

0= Not at all 1= A little bit 2= Moderately 3= Quite a bit 4= Extremely

| | • | | | | | |
|-----|---|---|---|---|---|---|
| 1. | Headache | 0 | 1 | 2 | 3 | 4 |
| 2. | Nervousness or shakiness inside | 0 | 1 | 2 | 3 | 4 |
| 3. | Feeling critical of others | 0 | 1 | 2 | 3 | 4 |
| 4. | Trouble remembering things at work | 0 | 1 | 2 | 3 | 4 |
| 5. | Feeling easily annoyed or irritated | 0 | 1 | 2 | 3 | 4 |
| 6. | Feeling low in energy or slowed down | 0 | 1 | 2 | 3 | 4 |
| 7. | Feeling that most people can not be trusted | 0 | 1 | 2 | 3 | 4 |
| 8. | Poor appetite | 0 | 1 | 2 | 3 | 4 |
| 9. | Crying easily | 0 | 1 | 2 | 3 | 4 |
| 10. | Temper outbursts that you could not control | 0 | 1 | 2 | 3 | 4 |
| 11. | Blaming yourself for things | 0 | 1 | 2 | 3 | 4 |
| 12. | Feeling blocked in getting things done | 0 | 1 | 2 | 3 | 4 |
| 13. | Feeling lonely | 0 | 1 | 2 | 3 | 4 |
| 14. | Worrying too much about things | 0 | 1 | 2 | 3 | 4 |
| 15. | Feeling no interest in things | 0 | 1 | 2 | 3 | 4 |
| 16. | Feeling fearful | 0 | 1 | 2 | 3 | 4 |
| 17. | Your feelings being easily hurt | 0 | 1 | 2 | 3 | 4 |
| 18. | Feeling others do not understand you or are unsympathetic | 0 | 1 | 2 | 3 | 4 |
| 19. | Feeling that people are unfriendly or dislike you | 0 | 1 | 2 | 3 | 4 |
| 20. | Heart pounding or racing | 0 | 1 | 2 | 3 | 4 |
| 21. | Feeling inferior to others | 0 | 1 | 2 | 3 | 4 |
| 22. | Drinking more alcohol than usual | 0 | 1 | 2 | 3 | 4 |
| 23. | Trouble falling asleep | 0 | 1 | 2 | 3 | 4 |
| 24. | Having to check and double-check what you do | 0 | 1 | 2 | 3 | 4 |
| 25. | Difficulty making decisions | 0 | 1 | 2 | 3 | 4 |
| 26. | Your mind going blank | 0 | 1 | 2 | 3 | 4 |
| 27. | Trouble concentrating at work | 0 | 1 | 2 | 3 | 4 |
| 28. | Overeating | 0 | 1 | 2 | 3 | 4 |
| 29. | Sleep that is restless or disturbed | 0 | 1 | 2 | 3 | 4 |
| 30. | Difficulty getting the job done | 0 | 1 | 2 | 3 | 4 |
| 31. | Having urges to break or smash things | 0 | 1 | 2 | 3 | 4 |
| 32. | Feeling very self-conscious with others | 0 | 1 | 2 | 3 | 4 |
| 33. | Others not giving you proper credit for your achievements | 0 | 1 | 2 | 3 | 4 |
| 34. | Shouting or throwing things | 0 | 1 | 2 | 3 | 4 |
| 35. | Feeling pushed to get things done | 0 | 1 | 2 | 3 | 4 |
| 36. | Thoughts of ending your life | 0 | 1 | 2 | 3 | 4 |
| 37. | Never feeling close to other persons | 0 | 1 | 2 | 3 | 4 |
| 38. | Feelings of guilt | 0 | 1 | 2 | 3 | 4 |
| | Needing a medication or using drugs more | 0 | 1 | 2 | 3 | 4 |
| 40. | Having problems with co-workers | 0 | 1 | 2 | 3 | 4 |
| | | | | | | |

STATEMENT OF SERVICES

STUDENT RESOURCE SERVICES (SRS)

VOLUNTARY PARTICIPATION: Student Resource Services, LLC. is a private consulting firm retained by your college to assist its students. Student Resource Services are provided at no cost to you. The school has already paid the fee. Among other services, this program provides a number of sessions to assess any problems and help you take action to improve or resolve any difficulties. The number of sessions is a decision left up to your counselor. If services are needed beyond what the SRS can provide, for example, a doctor or on-going care, the counselor will direct you to the proper resource for that care. It is then your responsibility to pay for services provided by any of these sources. Insurance coverage or other financial assistance may be applicable and it is our intent to help you clarify this.

The decision to receive student resource services is strictly voluntary even when referred to the program by faculty or administration staff. It is essential you keep your appointments in order for us to provide consistent and effective services. In the event you cannot attend your session, please call us as soon as you become aware of this. Your call allows us to offer your time to others and to provide timelier scheduling of appointments for all our clients. If you fail to cancel within twenty four hours of an appointment, or do not keep the appointment, it may be counted as one of your SRS sessions.

CONFIDENTIALITY: All case records will be held in the strictest confidence and are the property of Student Resource Services, LLC. Upon completion of services, all documentation pertaining to you will be stored at *Student Resource Services* headquarters. Information contained in the case record will not be released to any requesting party without the individual's written consent, except under a court order compelling disclosure, or where life or safety are seriously threatened, including at school, or as required by law. If you are formally referred for brief counseling services, called an administrative referral, then we will tell the referring school official whether you attended scheduled appointments, and if you are following the action plan established by your counselor. We may also inform of any specific risks to school property or staff, such as risk of violence. (See our Notice of Privacy Practices for more details.)

QUALITY ASSURANCE: Your SRS counselor receives assistance and guidance from the clinical management staff of People Resources, Inc. to make sure you receive the very best assistance possible. Your services will receive this ongoing review by the Vice President, Clinical Services or designee. This review is for the specific purpose of assuring you receive quality service.

| l, | s Name (please print) | |
|---|--|---------------------------------|
| have read this Statement of Se Student Resource Services, LL | ervices and understand its content. I hav LC Notice of Privacy Practices, which exp Resource Services at 1.866.640.4777 if I | plains all my privacy rights. I |
| Client's Printed Name | Client's Signature | Date |
| Witness Printed Name | Witness Signature | Date |

Student Resource Services, LLC Revised Notice of Privacy Practices for the Student Resource Services (SRS)

Effective Date: March 1, 2012

This Notice describes how confidential information about you may be used and disclosed and how you can get access to this information. Please review it carefully.

Student Resource Services, LLC is dedicated to protecting all confidential information we collect and use in providing services. We follow all requirements of Health Insurance Portability and Accountability Act of 1996 (HIPAA) and Federal Confidentiality Law 42 CFR, Part 2; and the Health Information Technology for Economic and Clinical Health (HITECH) Act, passed as part of the 2009 American Recovery and Reinvestment Act. If you have questions, want to use your privacy rights or make a complaint, contact our **Privacy Officer** at Student Resource Services, LLC, 9666 Olive Blvd ,Suite 705, St. Louis, MO 63132; 314-400-9696 or 866-640-4777.

I. How Student Resource Services May Use or Disclose Your Confidential Information

Student Resource Services collects personal and health information from you and we store it in our confidential databases and sometimes in paper records. Student Resource Services uses and discloses your confidential information for the following purposes:

1. <u>SRS Services</u>. We assist students in finding resources, meeting needs and solving problems at home, school and at work. Our services include *web-based* information and referral services; *telephone-based* services such as confidential conversation with a Service Specialist, individualized telephone coaching, and referrals for health care or community services; brief, *in-person counseling* services; and evaluation and services provided if you are formally referred by your school, often called a *formal referral*. These services are free to you. We also provide training on accessing our services and improving your personal potential, such as stress management training.

In order to provide, pay for and manage these services, we collect personal information such as names, addresses, social security numbers and information you give us concerning issues and needs for which you would like our help. For example, if you would like to have brief counseling services, we will give your Service Specialist enough of your confidential information so the services can be provided. Another example is our quality review of some of our client records in order to see that services are properly provided. In all situations, we maintain our highest standards for protecting confidentiality.

Your school does NOT receive any confidential information about the services you seek or use, with one exception. If you are formally referred for brief counseling services, called a *formal referral*, then we will tell the referring official whether you called us, and with your signed release, dates and times of appointments, whether you kept scheduled appointments, and if you are following the action plan established by your Service Specialist. We may also inform of any specific, serious risks to school personnel or property, such as risk of violence. In some cases we may share anticipated time off school.

2. Other Possible Disclosures

Notification and communication with family. We may disclose your confidential information to a family member, your personal representative or another person legally responsible for your care

^{*} A Service Specialist is a licensed counselor or certified Employee Assistance Professional who has been specially trained to provide SRS services. The Specialist may be a staff member or network affiliate.

only with your express permission. In an emergency when there is serious threat of harm to you or others, we may communicate with your family or others to ensure the safety of all involved.

Required by law or regulation. As required by law or regulation, we may disclose your confidential information. Examples include possible child abuse or domestic violence, or a serious possibility that you or others could be hurt or hurt someone else. We may also be required to disclose your private information to government health agencies for audits, investigations, inspections, licensure and other proceedings; to law enforcement officials for official business; to comply with a court order or subpoena; to prevent or lessen a serious threat to the health or safety of an individual or the general public; to comply with workers' compensation laws; for military, national security, prisoner or government benefits purposes; and/or to provide appointment reminders or give you information about our other services available to you.

Except as detailed above, no other information is shared without your written permission, which must include your name, information to be disclosed and to whom, and time limit for sharing the information. You may revoke your authorization in writing at any time.

II. Your Confidential Personal and Health Information Rights

You have the right to:

- Inspect and copy your personal and health information, with the exception of a Service Specialist's personal psychotherapy notes. We require your written request in advance and proof of your identity before we share the information. You have the right to receive your health information through reasonable alternative means or at an alternative location. You have the right to request either a hard copy or an electronic copy of your records.
- Request that we make changes to your confidential information. We will review your request and may or may not make the change. If we deny your request, we will provide you with information about our denial and how you can disagree with our denial.
- Receive a list of any disclosures we have made of your personal information, except that we do not have to account for the disclosures needed for treatment, payment, operations or for military, national security, prisoner or government benefits purposes.
- Request restrictions on certain uses and disclosures of your personal and health information. People Resources is not required to agree to the restriction that you request. However, we must comply with your request to restrict a disclosure of your confidential information for payment or health care operations if you paid for these services in full, out of pocket.
- Receive a paper copy of this Notice. We may ask you to sign your receipt of the copy.

III. Changes to this Notice & Making Complaints

Student Resource Services reserves the right to amend or change this Notice at any time and to make any changes effective for all information that we maintain, including information already created or received. Until any changes are made, we are required by law to comply with this Notice. If we revise our Notice, we will put it on our web-site, StudentLifeTools.com, and provide written copies to our callers receiving services.

Complaints about this Notice or our handling of your confidential information can be directed to our Privacy Officer. If you are not satisfied with the manner in which we handle a complaint, you may submit a formal complaint to the Department of Health and Human Services, Office of Civil Rights.